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FROM: Randall K. McCarthy, Registration No. 39,297

TO: Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

ADDRESSEE/ORGANIZATION	FAX NO.	TELEPHONE NO.
Art Group 2655	703/872-9306	866/217-9197

RE: Application No. 10/779,437
In re application of: Douglas M. Carson
Assignee: Doug Carson & Associates, Inc.
Dkt. No.: OKC00086.1

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PATENT
Dkt. OKC00086.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Douglas M. Carson**
Assignee: **DOUG CARSON & ASSOCIATES, INC.**
Application No.: **10/779,437** Group No.: **2655**
Filed: **February 13, 2004** Examiner: **Unknown**
For: **IDENTIFIER TAG TO TRACK LAYERS IN A MULTI-LAYER OPTICAL DISC**

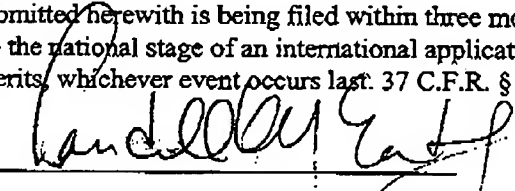
Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
WITHIN THREE MONTHS OF FILING OR
BEFORE MAILING OF FIRST OFFICE ACTION (37 C.F.R. § 1.97(b))

IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. § 1.97(b).

Date: 11/5/04


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CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a)

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Diana C. Anderson

(type or print name of person certifying)

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Transmittal of Information Disclosure Statement Within Three Months of Filing or Before Mailing of First Office Action page 1 of 1

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In re application of: Douglas M. Carson
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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

List of Sections Forming Part of This Information Disclosure Statement

The following sections are being submitted for this Information Disclosure Statement:

1. Preliminary Statements
2. Forms PTO/SB/08A and 08B (formerly Form PTO-1449)
3. Identification of Person(s) Making This Information Disclosure Statement

Section 1. Preliminary statements

Applicant submits herewith a list of patents, publications or other information, of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. § 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

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PTO/SB/08a (08-03)

Approved for use through 07/31/2008. OMB 0651-0031

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

1

03

1

Complete if Known

Application Number

10779 437

Filing Date

02/13/2004

First Named Inventor

Douglas M. Carson

Art Unit

2655

Examiner Name _____

Attorney Docket Number

LOKC00086.1

U. S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

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**Examiner
Signature**

Date _____

Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.¹ Applicant's unique citation designation number (optional).² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 01.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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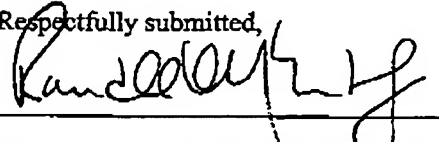
Section 3. Identification of Person Making This Information Disclosure Statement

The person making this certification is:

- a. the practitioner who signs below on the basis of the information supplied by the inventor(s) and in the practitioner's file.

Date: 11/5/04

Respectfully submitted,



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